Ring Opening and Closure and Oxygen Isotope Exchange of Cyclic Sulfinate Esters¹

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Alkaline ring opening and the reverse ring closure in an aqueous acid solution of cyclic sulfinate esters (sultines), 3H-2,1-benzoxathiole 1-oxide (1a) and 3,4-dihydro-2,1-benzoxathiin 1-oxide (1b), have kinetically been studied at 25 °C. The sultine 1a is more reactive than 1b in both the opening and closure reactions. However, acid-catalyzed oxygen isotope exchange of the ¹⁸O-labeled sultine 1a was found to be slower than that of the labeled 1b. The contrasting reactivities of the five- and sixmembered sultines in alkaline and acid solutions as well as the reaction mechanisms are considered on the basis of the ab initio molecular orbital calculations.

Nucleophilic substitution of sulfinic acid derivatives may proceed stepwise through a trigonal-bipyramidal (hypervalent) intermediate or concertedly in an $S_N 2$ manner (eq 1). Most of such reactions occur with predominant in-



version at the chiral sulfur, and a mechanism involving a hypervalent intermediate has been suggested,² though the S_N 2-like mechanism cannot be excluded.

Hydrolysis of a sulfinate ester (eq 2) is formally similar to that of a carboxylate ester. Tetrahedral intermediates for the carboxylate hydrolysis were demonstrated by showing that the oxygen isotope exchange can be observed during the reaction.³ A possible ¹⁸O exchange occurring

during sulfinate hydrolysis was considered to be evidence for the presence of the trigonal-bipyramidal intermediate (eq 2).^{2d,4,5} However, previous efforts to detect such an isotope exchange in alkaline hydrolysis of sulfinate esters were unsuccessful.⁴

We have examined reactions of cyclic sulfinates (sultines), 1a and 1b, in acidic solution as well as in alkaline

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solution. In alkaline aqueous solution, the sultine 1 undergoes ring opening to give the hydroxy sulfinate ion 2^- , while 1 seems to be stable in acid and the hydroxy sulfinic acid 2 cyclizes to 1 in strong acid (eq 3).



The ¹⁸O-labeled sultine undergoes isotope exchange at the sulfinyl oxygen in acid. The γ - (five-membered ring) and δ -sultines (six-membered ring), 1a and 1b, showed contrasting reactivities in the oxygen exchange (1b > 1a) in acid and the ring opening (1a > 1b) in alkaline solution. Reasons for these relative reactivities, as well as the reaction mechanisms, are discussed from the theoretical viewpoints.

Results

3H-2,1-Benzoxathiole 1-oxide (1a) was prepared by oxidative cyclization of o-(hydroxymethyl)benzenethiol⁶ while 3,4-dihydro-2,1-benzoxathiin 1-oxide (1b) was obtained by an AlCl₃-promoted cyclization of 2-phenylethyl chlorosulfite.⁷

When 1 is dissolved in alkaline solution, the UV spectrum of 1 smoothly changes to that of the hydroxy sulfinate ion 2⁻ with isosbestic points at 236 and 242 nm for 1a and at 232 and 250 nm for 1b (Figure S1, supplementary material). In acidic solutions, UV spectra of 1 are stable. The spectrum of 2⁻ changes on acidification in accord with acid ionization of pK_a of 1.2 and 1.4 for 2a and 2b, respectively (Figure S2). In prolonged time, the spectrum of 2 gradually changes to that of 1 in stronger acid (Figure S3). Acid-catalyzed cyclization must occur in the aqueous solution.

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Table 1. Rate Constants for Ring Opening and Closure and ¹⁸O Exchange of the Cyclic Sulfinate Esters at 25 °C

reaction	laª	1b ^a	relative rate 1a/1b
ring opening ^b	79.5°	0.516 ^d	150
$(k_{\rm OH}/{\rm M}^{-1}{\rm s}^{-1})$			
ring closure			
$(10^4 k_{clos}/s^{-1})$			
in HClO4	0.897 (1.857 M)e	0.560 (1.818 M) ^b	1.6⁄
in HCl	6.07 (1.857 M) ^e	3.77 (1.818 M) ^b	1.5⁄
in HBr	23.9 (1.857 M) ^e	17.0 (1.818 M) ^b	1.3⁄
¹⁸ O exchange ^g			
$(10^6 k_{ex}/s^{-1})$			
in HClO ₄	0.308 (1.951 M)	1.57 (1.951 M)	1/5.1
in HClO₄		5.61 (4.672 M)	
in HCl	3.28 (1.951 M)	17.9 (1.951 M)	1/5.5
in DCl		31.6 (1.841 M)	
in HBr	8.90 (1.951 M)	53.1 (1.951 M)	1/6.0

^a Values in parentheses are concentrations of the acid. ^b Reaction solutions contained about 1 vol % of CH₃CN. ^c At the ionic strength of 0.10 M maintained with NaClO₄. A standard deviation calculated from $7k_0$ in the pH range 9.15–11.70 is 6.7. ^d At ionic strengths <0.01 M. A standard deviation calculated from $7k_{obed}$ obtained at [NaOH] = 0.001–0.01 M is 0.025. ^e Reaction solutions contained about 5 vol % of CH₃CN. ^f Appropriate corrections for the acid concentration were made. ^e Reaction solutions contained about 2.4 vol % of CH₃CN.



Figure 1. Observed rate constants for the ring closure of 2b (O) and the ¹⁸O exchange of 1b-¹⁸O (\square) in HClO₄ at 25 °C.

Reaction of 1 in alkaline solution was followed spectrophotometrically at 25 °C. Time-dependent absorbance change obeyed the pseduo-first-order kinetic law and the observed rate constants k_{obsd} in dilute NaOH are proportional to [OH⁻]. Reactions of 1a were also carried out in borate and carbonate buffer solutions at the ionic strength of 0.10 M maintained with NaClO₄. The k_{obsd} are dependent on buffer concentrations, and the extrapolated rate constants k_0 to zero buffer concentration conform with the k_{obsed} in NaOH, being roughly proportional to [OH⁻]. Buffer effects were not examined in detail. These rate constants are summarized in Tables S1 and S2 (supplementary material) and the second-order rate constants k_{OH} are given in Table 1. The γ -sultine 1a is 150 times as reactive as the δ -sultine 1b.

Ring-closure reaction of 2 in strong acid was monitored by decreasing absorption at 240 nm. The observed pseudofirst-order rate constants strongly increase with acid concentration as shown in Figure 1. (The k_{obsd} are sum-



Figure 2. Rate constants for the ring closure of 2b in mixed acids, $HClO_4$ -HCl (or HBr), measured at 25 °C and at the total acid concentration of 1.818 M. \bullet , HCl (the left ordinate); O, HBr (the right ordinate).

marized in Table S3.) Rates are greater in HCl and HBr solutions. At the constant acid concentration of mixed acid HClO₄-HCl (or HBr), the k_{obsd} increases with [HCl (or HBr)] as shown in Figure 2 (Table S4). The slope for HBr is 4.9 times greater than that for HCl at [H⁺] = 1.818 M. The k_{obsd} obtained in about 2 M acids are given in Table 1.

The labeled sulfines were obtained in a quantitative yield by ring opening of 1 in an ¹⁸O-enriched alkaline solution followed by recyclization in acid.⁷ It was confirmed by the lack of the ¹⁸O isotope shift of the ¹³C NMR of the 3-C that the ¹⁸O was incorporated solely at the exocyclic sulfinyl position.⁷ The contents of ¹⁸O were determined by mass spectra: The substrates 1-¹⁸O obtained contained 30-40% excess ¹⁸O of the natural abundance.

We first examined possible loss of ¹⁸O of 1-¹⁸O during alkaline ring opening (hydrolysis). The unreacted substrate was recovered by extraction after 2–3 half-lives of the ring-opening reaction. Analysis of ¹⁸O contents of the recovered substrates showed no detectable loss of the label. The ¹⁸O contents are constant within experimental errors as the data are given in Tables S5 and S6 (supplementary material). No isotope exchange was detected during alkaline ring opening of 1.

The sultine 1 does not undergo any apparent reaction in acid, but the labeled substrate 1-¹⁸O slowly loses its label in strong acid (Tables S5 and S6). The decrease in the ¹⁸O content follows pseudo-first-order kinetics (Figure S4) to give rate constants listed in Table 1. The isotope exchange is faster in halogen acids: HBr > HCl > HClO₄. The exchange is still faster in a deuterium solvent than in a protium solvent: $k_{\rm DCl}/k_{\rm HCl} = 2.0$.

Discussion

Reactivity of Sultines. During alkaline ring opening of both 1a and 1b, no ¹⁸O exchange was observed as was the case with the simple sultines.⁴ In the previous work⁴ the normal substrates were treated with alkaline H₂¹⁸O while the labeled substrates were more carefully examined in the present studies where 1% of exchange can be detected.⁸ The intermediacy of the hypervalent species is not proved by these results but cannot be disproved either.⁵

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Relative reactivities of the five- and six-membered ring compounds are interesting. The five-membered ring 1a is 150 times more reactive than the six-membered 1b toward hydroxide ion in the ring-opening reaction. Similar results are observed with alkaline ring opening of simple sultines⁴ as well as the cyclic sulfonates.⁹ Higher reactivity of the five-membered ring derivative was also observed for some nucleophilic reactions of cyclic sulfoxides.¹⁰ These relative reactivities are contrasting to those observed for lactones where the six-membered δ -lactone is 40 times more reactive than γ -lactone.¹¹ These contrasting reactivities may be accommodated with ring strains caused differently owing to longer bond distances involving sulfur (see below).

The difference in the rate of ring closure in acid is small but the formation of 1a is still faster than that of 1b. By contrast, relative rates of the ¹⁸O exchange are opposite. 1a/1b being 1:5-6. These opposite reactivities in ring opening-closure and ¹⁸O exchange were considered at first to show that the isotope exchange occurred without ring opening but that it occurred within a hypervalent intermediate.¹ However, this consideration seems to be wrong as will be discussed below.

Both ring closure and oxygen exchange occur more rapidly in hydrobromic and hydrochloric acids than in perchloric acid. Halide ions must be nucleophilic catalysts of these acid-catalyzed reactions. A similar nucleophilic catalysis was observed for acid hydrolysis of sulfenate esters¹² as well as acid-catalyzed racemization^{13,14} and oxygen isotope exchange^{15,16} of sulfinyl derivatives. The relative nucleophilic reactivity of Br- to Cl- observed for the present reaction is compatible with that $(Br^{-}/Cl^{-} =$ 5.4) determined for the acid-catalyzed cleavage of a sulfinyl sulfone.¹⁷ The halide-catalyzed reactions must proceed with intermediate formation of sulfinyl halides.

Isotope Exchange via Ring Opening. If the ¹⁸O exchange occurs through the acid-catalyzed ring opening (with k_{open}) and ring closure (with k_{clos}) as shown in Scheme 1, the rate constants for the exchange, k_{ex} , can be expressed by eq 4 since $k_{open} \ll k_{clos}$ judging from the equilibrium.

$$k_{\rm ex} = k_{\rm open}/2 \tag{4}$$

So, the equilibrium constant K_c for ring closure (eq 5) is represented by eq 6. From the kinetic data in about 2 M

$$2 \stackrel{K_c}{\rightleftharpoons} 1 + H_2 O \tag{5}$$

$$K_{\rm c} = k_{\rm clos}/2k_{\rm ex} \tag{6}$$

HClO₄, the equilibrium constants K_c are calculated to be 153 and 19.7 for la and lb, respectively. Although the equilibrium concentration of 2a may be too small to evaluate K_c for 1a by some other method, about 5% of 2b

Table 2. Equilibrium Constants for the Ring Closure $2b \rightleftharpoons 1b$ in Acid Solutions at 25 °C

acid	[acid]/M	$K_{\rm c}({ m UV})^a$	[acid]/M	$K_{\rm c}$ (calcd) ^b		
HClO ₄ HClO ₄	0.857	14 18 20	1.95	197		
HCIO4	1.714	53	1.00	20.1		
HClO ₄	3.429	37 66, 52				
HClO ₄	4.286	100, 118	4.67	70.3		
HCl HCl	1.714 4.269	16, 14 23, 17	1.95	12.1		
HBr			1.95	18.4		

^a Evaluated from UV spectra. ^b Calculated from kinetic data. ^e With added NaClO₄ of 2.571 M.



in equilibrium with 1b could be determined, e.g., spectrophotometrically. In accord with this expectation, the UV spectrum of 1a did not show any detectable change in 2 M HClO₄ on standing and the spectrum obtained after prolonged time from $2a^-$ in the same acid agreed very well with that of 1a. By contrast, the spectrum of 1b changed slightly, if examined carefully, and agreed with that obtained from $2b^{-}$ at equilibrium (as shown in Figure S5). The equilibrium contants K_c for formation of 1b were evaluated from the UV spectra and are listed in Table 2. Unexpectedly, K_c increases markedly with increasing [HClO₄]. This change in K_c was also confirmed by kinetic evaluation at $[HClO_4] = 4.67$ M; the calculated value of $K_{\rm c}$ = 70 is compatible with the UV value of 100 that contains large errors (probably $\pm 50\%$). These agreements show that the ¹⁸O exchange takes place through ring opening and closure and the rate-determining step for the exchange should be acid-catalyzed ring opening. Now, there arises a question why 1a is more reactive in alkaline solution than 1b while 1b is more reactive in acid. This question will theoretically be considered below.

Variation in K_c with [HClO₄] needs further examinations. Effects of acid concentration of K_c are mild in HCl and the K_c is smaller in HCl than in HClO₄ at the same acidity. At the constant acidity of HClO₄ (1.714 M), the added NaClO₄ considerably increased the K_c . These results suggest that perchlorate ion (but not acidity) affects the magnitude of K_{c} . Similar effects of perchlorate salt were previously noted for lactonization and ascribed to the salt effects on the activity of lactone.¹⁸ Increasing $[ClO_4-]$ results in decrease in the activity (salting in) of lactone. Similar salt effects must also be operating on the activity of sultine to affect the equilibrium. Such effects are also apparent in the reaction rates as illustrated in Figure 1: Effects of [HClO₄] on the rate of the ¹⁸O exchange (ring opening) of 1b are milder than those on the rate of ring closure of 2b. The former reaction is retarded by

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Figure 3. Optimized structures of 1a and 1b calculated at the HF/3-21G(*) level. H, open circles; C, closed circles; O, dotted circles; S, hatched circles. Internal bond angles (degrees) and bond lengths (Å) are given. Values in parentheses are the charge densities obtained by the MP2 calculations.



Figure 4. The HOMO–LUMO levels (eV) calculated at the HF/ 3-21G(*) level.

 ClO_4^- through the decreasing activity of 1b while such effects are smaller (if any) on the reverse reaction of the acid 2b.

Theoretical Considerations

The molecular orbital calculations were carried out to understand the reaction mechanisms and reactivities of the sultines. Geometries were fully optimized at the Hartree–Fock (HF) level with the 3-21G(*) basis set.¹⁹ In order to obtain improved energies, single point calculations were also performed on the basis of the second-order Møller–Plesset perturbation (MP2) theory.²⁰

Reactivity of Sultines. Structures of the sultines 1a and 1b are shown in Figure 3: 1a is essentially planar while 1b is considerably puckered. The internal bond angles at the benzo carbons of the five- and six-membered rings may be worth noting; those of 1a are considerably reduced to ca. 112° from the bond angle of the normal sp² carbon, while those of 1b are close to 120°.

Charge distributions on the heteroatoms (based on the natural population analyses²¹) are also given in Figure 3, showing little difference between **1a** and **1b**. The HOMO–



LUMO levels are illustrated in Figure 4. The LUMO's of 1a are lower than those of 1b. The first two LUMO's are mostly populated at the aromatic carbons and the third LUMO is localized at the sulfinyl group. The relative nucleophilic reactivity at the sulfur atom may be mainly governed by this third LUMO: that of 1a is 0.5 eV lower than that of 1b.

Reactivity in Acid. The opposite relative reactivities of 1a and 1b in acid (1b > 1a) were deduced from the kinetic results for acid-catalyzed oxygen exchange. The protonation of 1 is important in the acid-catalyzed reaction, and energies of protonation of 1 were examined. Energy changes for the proton transfers to the sulfinyl oxygen (eq 7) and to the alkoxyl oxygen (eq 8) are as shown below.



1 +
$$H_3O^*$$

 H_3O^*
 $H_3O^$

Both modes of protonation occur more readily with 1b than with 1a; i.e., 1b is more basic than 1a. This would be one of the reasons for the higher reactivity of 1b in the acid-catalyzed reaction.

Reaction Mechanism. The acid-catalyzed oxygen exchange of 1 is concluded to occur via the ring opening as a rate-determining step. This reaction should be a nucleophilic reaction of the protonated substrate (or of the neutral substrate with general acidic participation of the acid). If the reaction proceeds through the protonation at the sulfinyl oxygen (eq 7), the addition intermediate of trigonal-bipyramid must be enforced. If it were not involved, the poorly leaving alkoxide group must have been expelled by a weakly nucleophilic water molecule in the S_N 2-like reaction at the sulfur (Scheme 2), which would be highly unlikely.

Possible trigonal-bipyramidal intermediates for 1a and 1b were examined. In the optimized structures, the sultine ring is always placed in the apical-equatorial directions (the equatorial-equatorial form was impossible), and the apical hypervalent bonds are very much deformed: the bond angles are 145.0° and 166.2° for 1a-OH and 1b-OH, respectively. Although this pathway through the addition intermediate cannot be excluded, an alternative pathway through the protonation at the alkoxyl oxygen must also be considered. In this protonated substrate, the S-O bond

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is very much extended: 1.885 and 1.946 Å for $1aH^+$ and $1bH^+$, respectively. The nucleophilic attack of water at the sulfur would readily lead to cleavage of the weak S–O bond. That is, the S_N2-like mechanism (eq 9)through the



alkoxyl protonation may be possible. Although the alkoxyl protonation occurs less readily, the ensuing reaction must take place essentially without any barrier. In these mechanisms, proton transfers could be of general-acid type: the bond cleavage might proceed simultaneously on proton transfer.

Experimental Section

UV spectra were recorded on a Shimadzu UV 2200 and kinetic measurements were carried out by a Shimadzu UV 160 spectrophotometer. Mass spectra were recorded on a spectrometer JMS DX303.

Materials. 3H-2,1-Benzoxathiole 1-oxide (1a) was prepared from o-(hydroxymethyl)benzenethiol⁶ and 3,4-dihydro-2,1-benzoxathiin 1-oxide (1b) was obtained by an AlCl₃-promoted cyclization of 2-phenylethyl chlorosulfite.⁷ The recrystallized 1b contained a small amount of the isomeric sulfone, 3H-2,1benzoxathiole 1,1-dioxide, and kinetic samples were purified by preparative HPLC.

The labeled substrates 1-¹⁸O were obtained as described elsewhere.⁷ The % ¹⁸O excess of the natural abundance of the substrate used were 1a-¹⁸O, 33.5 and 41.5%; 1b-¹⁸O, 31.6 and 29.8%, as determined by MS.

Water used for preparation of alkaline solutions were freed from carbon dioxide by boiling it under argon. Aqueous NaOH solutions were freshly prepared from a sodium methoxide solution obtained by dissolving sodium metal in methanol but contained less than 1% of methanol. The concentrations were determined by titration with a standard HCl.

Ring Opening. Reactions of 1a in alkaline solutions were carried out in borate and carbonate buffer solutions as well as in aqueous NaOH at the ionic strength of 0.10 M maintained with added NaClO₄ while reactions of 1b were undertaken in aqueous NaOH without any added salt (at ionic strength less than 0.01 M). Reaction was started by adding a $30-\mu$ L sample of the stock solution of 1 in acetonitrile (ca. 0.05 M) from a microsyringe to 3.0 mL of an alkaline solution in a quartz cuvette equilibrated at 25.0 ± 0.1 °C in a cell compartment of the spectrophotometer. The spectra were recorded at appropriate time intervals or the absorbance at 245 nm was fed to a personal

computer, NEC PC-9800, for data processing. The data were analyzed by the pseudo-first-order kinetics program.

Ring Closure. The stock solution of 2^- was obtained by dissolving the acetonitrile solution of 1 in 0.01 M NaOH. Reaction was carried out in the same way as the ring-opening reaction by introducing 0.10 mL of the alkaline stock solution with a pipette into 3.0 mL of an acid solution. Reaction was monitored by the decreasing absorbance at 240 nm.

Acid Dissociation. A sample of the alkaline stock solution of 2^- (0.10 mL) was added to 3.0 mL of an acid solution and the spectrum was recorded immediately after mixing. The acid concentrations of final solutions were calculated, and $-\log[H^+]$ was used for pH.

Equilibrium Studies. To attain accurately the same concentrations of 1 and 2 in acid solution, a pair of the reaction solutions were started with the same stock solution of 1 in acetonitrile. The solution of 2⁻ was prepared by putting 2.0 mL of the stock solution of 1 in a 20-mL volumetric flask and filling it with 0.01 M NaOH while the second stock solution of 1 was obtained in the same way from 2.0 mL of the first stock solution by dilution with water and 1 mL of methanol (for solubilization) to 20.0 mL. Each sample (0.10 mL) of the solutions of 1 and 2⁻ was added with a pipette to 3.0 mL of acid and the spectrum was recorded immediately after mixing and after appropriate reaction time at 25 °C. From the absorbance readings at 10 different wavelengths of 235-265 nm, the equilibrium constants K_c were calculated.

¹⁸O Isotope Exchange. To 10 mL of acid in a flask maintained at 25 °C was added 0.25 mL of an acetonitrile stock solution containing about 5 mg of the labeled substrate 1-¹⁸O. At appropriate time intervals, a 2-mL sample was withdrawn with a pipette. The substrate 1 was immediately extracted with CH₂-Cl₂ and analyzed by mass spectrometry.

Calculations. All the theoretical calculations were carried out using the GAUSSIAN 92 program²² on an IBM RS6000 workstation.

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Supplementary Material Available: Tables of rate constants and data of ¹⁸O isotope analysis and Figures (13 pages). This material is contained in libraries on microfiche, immediately follows this article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.

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